

| Document | | | | |
|----------------------|---|--|--|--|
| Information | | | | |
| | | | | |
| Name | Certificate, OCSP and CRL Profile for Intermediate CA Issued by SK | | | |
| Version number | 3.2 | | | |
| Version No. and date | Changes | | | |
| | Chapter 3 – improved OCSP nonce usage. Changed OCSP | | | |
| | ResponderID value for EECCRCA and EE-GovCA2018; | | | |
| 30.06.2020 | Chapter 2.2.2 – added information about timestamping certificate; | | | |
| 3.2 | Harmonized key usage values according issued certificates; | | | |
| | Chapter 4 – added "invalidityDate" extension; | | | |
| | Added EE-GovCA2018 acronym definition | | | |
| | Added new root certificate EE-GovCA2018 information | | | |
| | Changed chapter 2.1 – added new key and signature ECDSA | | | |
| | algorithms; added "organisation identifier" in issuer DN; | | | |
| | Changed chapter 2.2 – fixed OCSP responder certificate key usage | | | |
| 04.01.2019 | values; added Qualified Certificate Statement value "qcs- | | | |
| 3.1 | QcCompliance" | | | |
| | Changed chapter 3 – added nextUpdate extension; improved | | | |
| | responderID values regarding to the new root certificate EE- | | | |
| | GovCA2018 | | | |
| | Changed chapter 4 – added ECDSA signature algorithm and EE- | | | |
| | GovCA2018 root certificate name in issuer DN | | | |
| | Changed document structure; | | | |
| 01.01.2017 | Added chapter 4, OCSP Profile; | | | |
| 3.0 | Improved certificate field descriptions; | | | |
| | Chapter 3.2.1 – added Qualified Certificate Statement extension; | | | |
| | Improved chapter 6, Referred and related Documents; | | | |
| | Changed chapter 1. General | | | |
| | Changed chapter 3. Technical certificate profile | | | |
| 17.12.2015 | Changed chapter 3.1. Main fields Changed chapter 3.2. Certificate extensions | | | |
| 2.0 | | | | |
| 2.0 | Changed chapter 3.3. Certificate Policies, (OID: 2.5.29.32) Changed chapter 4. CRL Profile | | | |
| | Changed chapter 4.1.CRL profile main fields | | | |
| | Changed chapter 5. Referred and related documents | | | |
| 01.10.2010 | | | | |
| 1.1 | Initial version | | | |
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1. Introduction

The document describes various combinations of profile requirements for intermediate certificates issued by EE Certification Centre Root CA and EE-GovCA2018. Also CRL-s, OCSP responder certificates and timestamping certificates.

The exact profile of the certificate may be further agreed upon a certificate application.

1.1. Abbreviations

| Acronym | Definition | | |
|--------------|--|--|--|
| CA | Certificate Authority | | |
| СР | Certificate Policy | | |
| CPS | Certification Practice Statement. This document is a CPS. | | |
| CRL | Certificate Revocation List | | |
| OCSP | Online Certificate Status Protocol | | |
| OID | Object Identifier, a unique object identification code | | |
| SK | AS Sertifitseerimiskeskus or SK ID Solutions AS, Certification | | |
| | Service provider | | |
| ETSI | European Telecommunications Standards Institute | | |
| EECCRCA | EE Certification Centre Root CA | | |
| EE-GovCA2018 | Estonian Government Root CA | | |
| DN | Distinguished name | | |

2. Technical Profile of the Certificate

Intermediate CA and OCSP responder certificate is compiled in accordance with the X.509 version 3, IETF RFC 5280 [1] and clause 6.6 of ETSI EN 319 411-1 [6].

| Field | OID | Mandatory | Value | Changeable | Description |
|------------------------|---------------------------|-----------|--|------------|---|
| Version | | yes | Version 3 | no | Certificate format version |
| Serial Number | | yes | | no | Unique serial number of the certificate |
| Signature Algorithm | 1.2.840.113 549.1.1.11 | yes | sha256With RSAEncrypti on; sha384With RSAEncrypti on; | no | Signature algorithm in accordance to RFC 5280 [1] and RFC 5480 [9] |

2.1 Certificate Body



| | | | ecdsa-with- | | |
|----------------------------------|----------|-----|---|-----|---|
| lssuer Distinguished name | | yes | sha512 | no | Distinguished name of the certificate issuer |
| Common Name (CN) | 2.5.4.3 | yes | EE Certification Centre Root CA; EE- GovCA2018 | | Root certificate authority name |
| Organisational Unit (OU) | 2.5.4.11 | no | Certification services | | Identity of certification service |
| Organisation (O) | 2.5.4.10 | yes | SK ID Solutions AS | | Organisation name |
| Organisation Identifier | 2.5.4.97 | yes | NTREE- 10747013 | yes | Identification of the subject organisation different from the organisation name as specified in clause 5.1.4 of ETSI EN 319 412-1 [3] |
| Country (C) | 2.5.4.6 | yes | EE | | Country code: EE – Estonia (2 character ISO 3166 country code [7]) |
| E-mail (E) | | no | pki@sk.ee | | Contact address |
| Valid from | | yes | | no | First date of certificate validity. |
| Valid to | | yes | | no | The last date of certificate validity. |
| Subject Distinguished Name | | yes | | yes | Unique subject (device) name in the infrastructure of certificates. |
| Common Name (CN) | 2.5.4.3 | yes | | yes | Intermediate CA name (e.g KLASS3-SK 2016 ; EID-SK 2016) |
| Organisational Unit (OU) | 2.5.4.11 | no | | yes | Identity of certification service |
| OrganisationN ame (O) | 2.5.4.10 | yes | | yes | Subscriber (organisation) name as stated in certificate application. |
| Organisation Identifier | 2.5.4.97 | yes | NTREE- 10747013 | yes | Identification of the subject organisation different from |



| | | | | | the organisation name as specified in clause 5.1.4 of ETSI EN 319 412-1 [3] |
|-----------------------|---------|-----|------------------------------------|-----|--|
| Country (C) | 2.5.4.6 | yes | | yes | Country code of the Subscriber in accordance with ISO 3166 [7] |
| Subject Public Key | | yes | RSA 2048, RSA 4096, ECC P521 | no | Public key created in RSA algorithm [8] in accordance with RFC 4055 [2]. ECC keys according to RFC 5480 [9] |
| Signature | | yes | | no | Confirmation signature of the certificate issuer authority. |

2.2 Certificate Extensions

2.2.1. Common Extensions of Organisation Certificates

The table describes different extensions that MAY be used.

| Extension | OID | Values and limitations | Criticality | Mandatory |
|----------------------|---------------|--|------------------|------------------|
| Basic Constraints | 2.5.29.1 | Subject Type=CA | Critical | yes ¹ |
| | 9 | Path Length Constraint=0 | | |
| | | For OCSP Responder: Subject Type=End Entity Path Length Constraint=None) | | |
| Key Usage | 2.5.29.1 5 | Refer to p 3.2.2 "Variable Extensions " | Critical | yes |
| Certificate Policies | 2.5.29.3 2 | Refer to p 3.2.3 "Certificate policy" | Non- critical | yes |
| Name Constraints | 2.5.29.3 0 | Permitted=None Excluded [1]Subtrees (0Max): DNS Name="" [2]Subtrees (0Max): IP Address=0.0.0.0 Mask=0.0.0.0 [3]Subtrees (0Max): | Non- critical | no |



| | | IP Address=0000:0000:0000 :0000:0000:0000:0000:0 | | |
|------------------------------------|------------------------------|--|------------------|--|
| CRL Distribution Points | 2.5.29.3 1 | [1]CRL Distribution Point Distribution Point Name: Full Name: | Non- critical | yes ² |
| | | URL=http://www.sk.ee/r epository/crls/eeccrca.crl or URL=http://c.sk.ee/EE- GovCA2018.crl | | |
| Extended Key Usage | 2.5.29.3 7 | Refer to p 3.2.2 "Variable Extensions " | Critical | yes |
| AuthorityKeyldentifier | 2.5.29.3 5 | SHA-1 hash of the public key | Non- critical | yes |
| SubjectKeyIdentifier | 2.5.29.1 4 | SHA-1 hash of the public key | Non- critical | yes |
| Authority Information Access | 1.3.6.1.5 .5.7.1.1 | | Non- critical | yes |
| OCSP | 1.3.6.1.5 .5.7.48.1 | http://ocsp.sk.ee/CA; or http://aia.sk.ee/ee- govca2018 | Non- critical | yes |
| calssuers | 1.3.6.1.5 .5.7.48.2 | http://www.sk.ee/certs/ EE_Certification_Centre_ Root_CA.der.crt; or http://c.sk.ee/EE- GovCA2018.der.crt | Non- critical | yes |
| Qualified Certificate Statement | 1.3.6.1.5 .5.7.1.3 | Refer to p 2.2.2 "Variable Extensions " | Non- critical | no |
| Id-pkix-ocsp-nocheck | 1.3.6.1.5 .5.7.48.1 .5 | NULL | Non- critical | no (Used only in OCSP Responder certificates) |

² Not included in OCSP responder and timestamping certificates



2.2.2 Variable Extensions

| Extension | Intermediate CA certificate | OCSP Responder certificate | Timestamping certificate |
|--------------------------|-----------------------------|-------------------------------|--------------------------|
| | Key u | sages | |
| Certificate signing | X | | |
| CRL signing | Х | | |
| Digital Signature | х | Х | Х |
| Non-Repudiation | х | | Х |
| | Qualified Certification | ate Statement[4] | |
| qcs-QcCompliance | х | | |
| id-etsi-qcs-semanticsId- | х | | |
| Natural | | | |
| | Extended | key usage | |
| OCSP Signing | x | Х | |
| Client Authentication | х | | |
| Secure Email | х | | |
| Time Stamping | | | Х |

2.2.3. Certificate Policy

OID of the extension: 2.5.29.32. The extension is marked non-critical.

The certificate policies extension contains a sequence of one or more policy information terms, each of which consists of an object identifier (OID) and optional qualifiers.

Certificate policies must conform exactly to those certificate profiles, under which certificates are issued. [1]



3. Profile of OCSP response

OCSP v1 according to [RFC 6960] [5]

| Field | Mandatory | Value | Description |
|-------------------|-----------|--|--|
| ResponseStatus | yes | 0 for successful or error code | Result of the query |
| ResponseBytes | | | |
| ResponseType | yes | id-pkix-ocsp-basic | Type of the response |
| BasicOCSPResponse | yes | | |
| tbsResponseData | yes | | |
| Version | yes | 1 | Version of the response format |
| responderID | yes | CN = EE-GOVCA2018 AIA OCSP RESPONDER YYYYMM 2.5.4.97 = NTREE- 10747013 O = SK ID Solutions AS C = EE CN = EECCRCA AIA OCSP RESPONDER YYYYMM 2.5.4.97 = NTREE- 10747013 O = SK ID Solutions AS C = EE | OCSP responder Note: the Common Name |
| producedAt | yes | | Date when the OCSP response was signed |
| Responses | yes | | |
| certID | yes | | CertID fields accordance with RFC 6960 [5] clause 4.1.1 |
| certStatus | yes | | Status of the certificate as follows: good - certificate is issued and has not been revoked or suspended <i>revoked</i> - certificate is revoked, suspended or not issued by this CA <i>unknown</i> - the issuer of certificate is unrecognized by this OCSP responder |



| revocationTime | no | | Date of revocation or expiration of certificate |
|--------------------------------|-----|---|---|
| revocationReason | no | | Code for revocation Reason according to RFC 5280 [1] |
| thisUpdate | yes | | Date when the status was queried from database |
| Archive Cutoff | no | CA's certificate "valid from" date. | ArchiveCutOff date - the CA's certificate "valid from" date. Pursuant to RFC 6960 [6] clause 4.4.4 |
| Extended Revoked Definition | no | NULL | Identification that the semantics of certificate status in OCSP response conforms to extended definition in RFC 6960 [6] clause 2.2 |
| nextUpdate | Yes | ThisUpdate + 7 days | The time at or before which newer information will be available about the status of the certificate. |
| Nonce | No | | Value is copied from request if it is included. Pursuant to RFC 6960 [5] clause 4.4.1 |
| signatureAlgorithm | yes | sha256WithRSAEncryption; sha512WithRSAEncryption | Signing algorithm pursuant to RFC 5280 [1]. |
| signature | yes | | |
| certificate | yes | | Certificate corresponding to the private key used to sign the response. |

4. Profile of Certificate Revocation List

SK issues CRL's in accordance to the guides of RFC 5280 $\left[1\right]$



4.1. CRL main fields

| Field | OID | Mandatory | Value | Description |
|---------------------------------|-----------|-----------|--|--|
| Version | | yes | Version 2 | CRL format version pursuant to X.509. |
| Signature Algorithm | | yes | sha256WithRSAEncr yption, ecdsa-with-sha512 | CRL signing algorithm pursuant to RFC 5280 [1] and and RFC 5480 [9] |
| Issuer Distinguished Name | | yes | | Distinguished name of certificate issuer |
| Common Name (CN) | 2.5.4.3 | yes | | Name of the issuing certification authority |
| Organisation Identifier | 2.5.4.97 | yes | NTREE-10747013 | Identification of the issuer organisation different from the organisation name. Certificates may include one or more semantics identifiers as specified in clause 5.1.4 of ETSI EN 319 412-1 [3] |
| Organisational Unit (OU) | 2.5.4.11 | no | Sertifitseerimisteenu sed | Identity of certification service of SK |
| Organisation (O) | 2.5.4.10 | yes | SK ID Solutions AS or AS Sertifitseerimiskesku s | Organisation name |
| Country (C) | 2.5.4.6 | yes | EE | Country code: EE – Estonia (2 character ISO 3166 country code [7]) |
| Effective Date | | yes | | Date and time of CRL issuance. |
| Next Update | | yes | | Date and time of issuance of the next CRL. |
| Revoked Certificates | | yes | | List of revoked certificates. |
| Serial Number | | yes | | Serial number of the certificate revoked. |
| Revocation Date | | yes | | Date and time of revocation of the certificate. |
| Reason Code | 2.5.29.21 | yes | | Reason code for certificate revocation. 1 – (keyCompromise); 2 – (cACompromise); 3 – (affiliationChanged); 4 – (superseded); 5 – (cessationOfOperation). |



| invalidityDate | 2.5.29.24 | no | InvalidityDate::= GeneralizedTime (i.e., times are YYYYMMDDHHMMS SZ) | The invalidity date is a non- critical CRL entry extension that provides the date on which it is known or suspected that the private key was compromised or that the certificate otherwise became invalid. |
|----------------|-----------|----|--|---|
| Signature | | | | Confirmation signature of the authority issued the CRL. |

4.2. CRL Extensions

| Field | OID | Values and limitations | Criticality |
|--|-----------|---|--------------|
| CRL Number | 2.5.29.20 | CRL sequence number | Non-critical |
| Authority Key Identifier ³ | 2.5.29.35 | Matching the subject key identifier of the certificate | Non-critical |
| Issuing Distribution Point | 2.5.29.28 | Distribution Point Name: Full Name: URL=http://www.sk.ee/reposito ry/crls/eeccrca.crl Only Contains User Certs=No Only Contains CA Certs=No Indirect CRL=No | Critical |

5. Referred and Related Documents

- [1] RFC 5280 Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile;
- [2] RFC 4055 Additional Algorithms and Identifiers for RSA Cryptography for use in the Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile;
- [3] ETSI EN 319 412-1 v1.1.1 Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 1: Overview and common data structures;
- [4] ETSI EN 319 412-5 v2.2.2 Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 5: QCStatements;
- [5] RFC 6960 X.509 Internet Public Key Infrastructure Online Certificate Status Protocol OCSP;

 $^{^{\}rm 3}$ SHA-1 hash of the public key corresponding to the private key.



- [6] ETSI EN 319 411-1 Electronic Signatures and Infrastructures (ESI); Policy and security requirements for Trust Service Providers issuing certificates; Part 1: General requirements;
- [7] ISO 3166 Codes;
- [8] RFC 3279 Algorithms and Identifiers for the Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile.
- [9] RFC 5480 Elliptic Curve Cryptography Subject Public Key Information;