

## SK ID Solutions AS – Certificate Policy for TLS Server Certificates

Version 8.0

OID: 1.3.6.1.4.1.10015.7.2

12. May 2021

Version and Changes		
Date	Version	Changes
12.05.2021	8.0	<ul style="list-style-type: none"> <li>Please note: TLS Server Certificates were issued until 1. September 2017. Servicing of the Certificates was carried out until September 2020. As of 30. September 2020 SK shall no longer provide certification service nor certificate status information for TLS Server Certificates;</li> <li>therefore, this Certificate Policy is no longer valid.</li> </ul>
10.04.2020	7.0	<ul style="list-style-type: none"> <li>Clause 1.5.4 - added that SK performs annual review of this CP.</li> </ul>
22.05.2019	6.0	<ul style="list-style-type: none"> <li>Current CP has been reviewed to ensure compliance with the latest Baseline Requirements [2] and ETSI EN 319 411-1 [1] policy;</li> <li>references – updated previous versions of Baseline Requirements [2] and ETSI EN 319 411-1 [1] policy with the latest ones.</li> </ul>
30.11.2017	5.0	<ul style="list-style-type: none"> <li>Approved version;</li> <li>as issuance of TLS Server Certificates is terminated by SK as of 1. September 2017, all relevant requirements and content have been left out from the CP. Therefore, clauses 1.1, 1.3.3, 1.6.1, 1.6.2, 3.1, 3.2, 3.3, 4.1-4.4, 4.7, 4.8, 6.1 and 9.1.1 have been changed accordingly.</li> </ul>
01.09.2017	4.1	<ul style="list-style-type: none"> <li>Draft of version 5.0</li> </ul>
03.07.2017	4.0	<ul style="list-style-type: none"> <li>Approved version</li> <li>clause 1.3.3 – amended the clause so it would reflect that the Subscriber can be any legal person who is registered in one of the European Union member state registries;</li> <li>clauses 1.6.1 and 4.1.2 - corrected terminology and replaced the term “digital” with “electronic”;</li> <li>clause 1.6.1 – added terms Advanced Electronic Signature and Qualified Electronic Signature;</li> <li>clause 3.2.2 - removed the restriction that TLS Server Certificate can only be issued to the Subscriber registered in the Estonian, Latvian, Lithuanian, Finnish or Swedish Business Register and who is discoverable from the European Business Register; added that the register, where the Subscriber is registered shall have legal effect;</li> <li>clause 3.2.5 – amended the clause so it would reflect that CA verifies that the Certificate application is signed by a person authorised by the legal representative;</li> <li>clause 4.1.2 – added specifications that application for TLS Server Certificate shall be signed with an Advanced or Qualified Electronic Signature; also added that SK may accept physically delivered notarized and apostilled documents.</li> </ul>
04.04.2017	3.1	<ul style="list-style-type: none"> <li>Draft of version 4.0</li> </ul>
01.06.2017	3.0	<ul style="list-style-type: none"> <li>Approved version;</li> </ul>

		<ul style="list-style-type: none"> <li>• due to change of SK's business name from AS Sertifitseerimiskeskus to SK ID Solutions AS, name of the CP has been changed accordingly. Also, former business name has been replaced with the new one in clauses 1.1, 1.2, 1.5.1 and 1.6.2 of this CP;</li> <li>• chapter 1.6.2 – generalised the name of the CPS as it shall also cover operations of the new CA KLASS3-SK 2016 in addition to operations of the old CA KLASS3-SK 2010.</li> </ul>
01.03.2017	2.1	<ul style="list-style-type: none"> <li>• Draft of version 3.0</li> </ul>
03.02.2017	2.0	<ul style="list-style-type: none"> <li>• Approved version</li> <li>• chapter 1.1 – Removed paragraph which stated that the current document is a redesign of the previous “AS Sertifitseerimiskeskus Certification Practice Statement” and “Certification Policy for Organisation Certificates”;</li> <li>• chapter 1.2 - Removed “This is the first version of this document”;</li> <li>• chapter 1.5.4 – Replaced “client” with the term “Subscriber”; added procedure for CP amendment in case of changes in compliance requirements;</li> <li>• chapter 2.2.1 – Added that the CP and related documents can be published 30 days prior to taking effect;</li> <li>• chapter 3.2.2.4 – Removed CA's obligation to verify that the domain name is resolvable by the public DNS service.</li> </ul>
01.11.2016	1.1	<ul style="list-style-type: none"> <li>• Draft of version 2.0</li> </ul>
1.07.2016	1.0	<ul style="list-style-type: none"> <li>• Approved version</li> <li>• chapter 3.2.2.4 – Added option 5 from section 3.2.2.4 of Baseline Requirements;</li> <li>• chapter 3.2.2.5 – Added option 4 from section 3.2.2.4 of Baseline Requirements;</li> <li>• chapter 4.10.2 – Added OCSP.</li> </ul>
1.04.2016	0.1	<ul style="list-style-type: none"> <li>• Draft of version 1.0.</li> </ul>



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# 1. Introduction

## 1.1. Overview

This document "SK ID Solutions AS – Certificate Policy for TLS Server Certificates" (CP) defines the procedural and operational requirements that SK ID Solutions AS (SK) adheres to and requires entities to adhere to when managing TLS Server Certificates.

This document only describes the restrictions to Organizational Validation Certificate Policy (OVCP) from ETSI EN 319 411-1 [1] ("OVCP"), which also includes CA/Browser Forum Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates ("Baseline Requirements"). The requirements of Browser root program from Microsoft [3], Mozilla [4] and Apple [5] ("Browser root program requirements") apply.

**The semantics of "no stipulation" in this document means that no additional restrictions are set and relevant provisions from OVCP, Baseline Requirements and Browser root program requirements are applied directly.**

In case of conflicts the documents are considered in the following order (prevailing ones first):

- Browser root program requirements;
- Baseline Requirements;
- OVCP;
- This CP;
- CPS.

Pursuant to the IETF RFC 3647 [7] this CP is divided into nine parts. To preserve the outline specified by RFC 3647 [7], section headings that do not apply have the statement "**Not applicable**". Each first-level chapter includes reference to the corresponding chapter in ETSI EN 319 411-1 [1]. References to Baseline Requirements [2] are not included since both documents follow the structure of IETF RFC 3647 [7] and each reference would be to the section with the same number.

In this CP "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "MAY" and so on are to be interpreted as described in clause 3.2 of the ETSI Drafting Rules (Verbal forms for the expression of provisions) [6].

## 1.2. Certificate Policy Name and Identification

Refer to clause 5.3 of ETSI EN 319 411-1 [1].

This document is called "SK ID Solutions AS – Certificate Policy for TLS Server Certificates".

This CP is identified by OID: 1.3.6.1.4.1.10015.7.2

OID is composed according to the contents of the following table 1.

Parameter	OID reference
Internet attribute	1.3.6.1
Private entity attribute	4
Registered business attribute given by private business manager IANA	1
SK attribute in IANA register	10015
Certification service attribute	7.2

The certificates issued to Subscribers SHALL include OIDs of the following policies:

- ETSI EN 319 411-1 [1] clause 5.3 f) for OVCP: Organizational Validation Certificate Policy
- Baseline Requirements [2] clause 1.2 for organization-validated Certificates
- This CP.

## 1.3. PKI Participants

Refer to clause 5.4 of ETSI EN 319 411-1 [1].

### 1.3.1. Certification Authorities

No stipulation.

### 1.3.2. Registration Authorities

No stipulation.

### 1.3.3. Subscribers

Subscriber is the subject of the Certificate managed under this CP.

Subscriber can be only a legal person registered in:

- a) the Estonian Business Register; or
- b) the Estonian Non-Profit Associations and Foundations Register; or
- c) the Estonian Register of State and Local Government Organisations; or
- d) one of the European Union member state registries.

Subscriber SHALL be owner of the domain or IP address for which the Certificate is requested.

### 1.3.4. Relying Parties

Relying Parties are legal or natural persons who are making decisions based on the certificate.

### 1.3.5. Other Participants

Not allowed.

## 1.4. Certificate Usage

Refer to clause 5.5 of ETSI EN 319 411-1 [1].

### 1.4.1. Appropriate Certificate Uses

The Subscriber Certificates are intended for proving the identity of web servers or other types of TLS servers in the public Internet.

CA Private Keys SHALL NOT be used to sign Certificates except the following:

- Subscriber Certificates;
- Certificates for Time-Stamping;
- OCSP Response verification Certificates;
- Internal Certificates for its own technical needs.

### 1.4.2. Prohibited Certificate Uses

Subscriber Certificates SHALL NOT be used for:

- Unlawful activity (including cyber attacks and attempts to damage the certificate);
- Issuance of new certificates and information on certificate validity.

## 1.5. Policy Administration

### 1.5.1. Organisation Administering the Document

This CP is administered by SK.

SK ID Solutions AS

Registry code 10747013

Pärnu Ave 141, 11314 Tallinn

Tel +372 610 1880

Fax +372 610 1881

Email: [info@skidsolutions.eu](mailto:info@skidsolutions.eu)

<https://www.skidsolutions.eu/>

### 1.5.2. Contact Person

Business Development Manager

Email: [info@skidsolutions.eu](mailto:info@skidsolutions.eu)

### 1.5.3. Person Determining CPS Suitability for the Policy

No stipulation.

### 1.5.4. CP Approval Procedures

Amendments which do not change the meaning of the CP, such as corrections of misspellings, translation and updating of contact details, are documented in the Versions and Changes section of the present document and the fraction part of the document version number shall be enlarged.

In the case of substantial changes, the new CP version is clearly distinguishable from the previous ones. The new version bears a serial number enlarged by one. The amended CP along with the enforcement date, which cannot be earlier than 90 days after publication, is published electronically on SK's website.

Within 30 days of amendment publication, the Subscriber has the chance to provide reasoned comments followed by maximum 30 day period for comment analysis by SK. 60 days after the amendment publication, the new version of CP shall be published electronically on SK's website, otherwise the amendment is withdrawn.

In the case of changes in compliance requirements, the new CP version is clearly distinguishable from the previous ones. The new version bears a serial number enlarged by one. The amended CP along with the enforcement date, which cannot be earlier than 30 days after publication, is published electronically on SK's website.

SK performs annual review of this CP to ensure compliance of the present document and Certification service provided under this CP with the applicable requirements.

All amendments are to be approved by the business development manager and the amended CP is enforced by the CEO.

## 1.6. Definitions and Acronyms

### 1.6.1. Terminology

In this CP the following terms have the following meaning.

Term	Definition
Advanced Electronic Signature	Electronic Signature which meets the requirements provided in Article 26 of eIDAS [11].
Authentication	Unique identification of a person by checking his/her alleged identity.



Browser root program requirements	Requirements for Browser root program from Microsoft [3], Mozilla [4] and Apple [5].
Certificate	Within the meaning of this CP, the term “Certificate” stands for TLS Server Certificate.
Certificate Policy	A set of rules that indicates the applicability of a named certificate to particular community and/or PKI implementation with common security requirements.
Certification Practice Statement	One of several documents forming the governance framework in which certificates are created, issued, managed, and used.
Certificate Profile	Document that determines the profile and minimum requirements for the Certificates.
Certificate Revocation List	A list of invalid (revoked, suspended) certificates.
Certification Service	Managing and revocation of certificates.
Directory Service	Certificate validity information publication service.
Distinguished Name	Unique subject name in the infrastructure of certificates.
Encrypting	Information treatment method changing the information unreadable for those who do not have necessary skills or rights.
Integrity	A characteristic of an array: information has not been changed after the array was created.
Object Identifier	An identifier used to name an object (OID).
TLS Server Certificate	Certificate issued to TLS server (HTTPS, IMAPS, FTPS, etc.) for proof of authenticity of TLS server owner.
Private Key	The key of a key pair that is kept secret by the holder of the key pair, and that is used to create electronic signatures and/or to decrypt electronic records or files that were encrypted with the corresponding public key.
Public Key	The key pair that may be publicly disclosed by the holder of corresponding private key and that is used by Relying Party to verify electronic signatures created with the holder’s corresponding private key and/or to encrypt messages so that they can be decrypted only with the holder’s corresponding private key.
Qualified Electronic Signature	Qualified Electronic Signature according to eIDAS [11].
Relying Party	Entity that relies upon either the information contained within a certificate.
Registration Authority	Entity that is responsible for identification and authentication of subjects of certificates. Additionally, an RA may accept certificate applications, check the applications and/or forward the applications to the CA.
Subscriber	Legal person bound by agreement with CA to any Subscriber obligations.
Terms and Conditions	Document that describes the obligations and responsibilities of the Subscriber while using the TLS Server Certificates. The Subscriber has to be familiar with the document and accept the terms and conditions described within when receiving the certificates.

## 1.6.2. Acronyms

Acronym	Definition
CA	Certification Authority
CP	Certificate Policy for TLS Server Certificates
CPS	Certification Practice Statement of KLASS3-SK
CRL	Certificate Revocation List
eIDAS	Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC <a href="#">[11]</a>
IANA	The Internet Assigned Numbers Authority
ICANN	Internet Corporation for Assigned Names and Numbers
OID	Object Identifier, a unique object identification code
PKI	Public Key Infrastructure
RA	Registration Authority
SK	SK ID Solutions AS, provider of the certification service
SK PS	SK ID Solutions AS Trust Services Practice Statement <a href="#">[14]</a>

## 2. Publication and repository responsibilities

Refer to clause 6.1 of ETSI EN 319 411-1 [1].

### 2.1. Repositories

SK SHALL ensure that its repository is available 24 hours a day, 7 days a week with a minimum of 99% availability overall per year with a scheduled down-time that does not exceed 0.5% annually.

### 2.2. Publication of Certification Information

#### 2.2.1. Publication and Notification Policies

This CP is published on SK's website: <https://www.skidsolutions.eu/en/repository/CP/> .

This CP and referred documents – the CPS [8] and Certificate, CRL and OCSP Profile for Organisation Certificates Issued by SK (hereinafter Certificate Profile) [9] as well as the Terms and Conditions [10] with the enforcement dates SHALL be published no less than 30 days before taking effect.

#### 2.2.2. Items not Published in the Certification Practice Statement

No stipulation.

### 2.3. Time or Frequency of Publication

No stipulation.

### 2.4. Access Controls on Repositories

No stipulation.

### **3. Identification and authentication**

Refer to clause 6.2 of ETSI EN 319 411-1 [\[1\]](#).

#### **3.1. Naming**

SK SHALL NOT accept any new applications nor issue any new Certificates.

#### **3.2. Initial Identity Validation**

SK SHALL NOT accept any new applications nor issue any new Certificates.

#### **3.3. Identification and Authentication for Re-Key Requests**

Not applicable.

#### **3.4. Identification and Authentication for Revocation Request**

No stipulation.

## 4. Certificate life-cycle operational requirements

Refer to clause 6.3 of ETSI EN 319 411-1 [1].

### 4.1. Certificate Application

SK SHALL NOT accept any new applications nor issue any new Certificates.

### 4.2. Certificate Application Processing

SK SHALL NOT accept any new applications nor issue any new Certificates.

### 4.3. Certificate Issuance

SK SHALL NOT accept any new applications nor issue any new Certificates.

### 4.4. Certificate Acceptance

SK SHALL NOT accept any new applications nor issue any new Certificates.

### 4.5. Key Pair and Certificate Usage

#### 4.5.1. Subscriber Private Key and Certificate Usage

No stipulation.

#### 4.5.2. Relying Party Public Key and Certificate Usage

No stipulation.

### 4.6. Certificate Renewal

Not allowed.

### 4.7. Certificate Re-Key

SK SHALL NOT accept any new applications nor issue any new Certificates.

### 4.8. Certificate Modification

Not applicable.

### 4.9. Certificate Revocation and Suspension

#### 4.9.1. Circumstances for Revocation

No stipulation.

#### 4.9.2. Who Can Request Revocation

No stipulation.

#### 4.9.3. Procedure for Revocation Request

No stipulation.

#### 4.9.4. Revocation Request Grace Period

No stipulation.

#### **4.9.5. Time Within Which CA Must Process the Revocation Request**

No stipulation.

#### **4.9.6. Revocation Checking Requirements for Relying Parties**

No stipulation.

#### **4.9.7. CRL Issuance Frequency**

No stipulation.

#### **4.9.8. Maximum Latency for CRLs**

No stipulation.

#### **4.9.9. On-Line Revocation/Status Checking Availability**

No stipulation.

#### **4.9.10. On-Line Revocation Checking Requirements**

No stipulation.

#### **4.9.11. Other Forms of Revocation Advertisements Available**

No stipulation.

#### **4.9.12. Special Requirements Related to Key Compromise**

No stipulation.

#### **4.9.13. Circumstances for Suspension**

Suspension is not allowed.

#### **4.9.14. Who Can Request Suspension**

Not applicable.

#### **4.9.15. Procedure for Suspension Request**

Not applicable.

#### **4.9.16. Limits on Suspension Period**

Not applicable.

#### **4.9.17. Circumstances for Termination of Suspension**

Not applicable.

#### **4.9.18. Who Can Request Termination of Suspension**

Not applicable.

#### **4.9.19. Procedure for Termination of Suspension**

Not applicable.

### **4.10. Certificate Status Services**

#### **4.10.1. Operational Characteristics**

No stipulation.



#### **4.10.2. Service Availability**

SK SHALL ensure that its CRL and OCSP is available 24 hours a day, 7 days a week with a minimum of 99% availability overall per year with a scheduled down-time that does not exceed 0.5% annually.

#### **4.10.3. Optional Features**

No stipulation.

#### **4.11. End of Subscription**

No stipulation.

#### **4.12. Key Escrow and Recovery**

##### **4.12.1. Key Escrow and Recovery Policy and Practices**

Not allowed.

##### **4.12.2. Session Key Encapsulation and Recovery Policy and Practices**

Not applicable.

## 5. Facility, management, and operational controls

Refer to clause 6.4 of ETSI EN 319 411-1 [1].

### 5.1. Physical Controls

#### 5.1.1. Site Location and Construction

No stipulation.

#### 5.1.2. Physical Access

No stipulation.

#### 5.1.3. Power and Air Conditioning

No stipulation.

#### 5.1.4. Water Exposures

No stipulation.

#### 5.1.5. Fire Prevention and Protection

No stipulation.

#### 5.1.6. Media Storage

No stipulation.

#### 5.1.7. Waste Disposal

No stipulation.

#### 5.1.8. Off-Site Backup

No stipulation.

### 5.2. Procedural Controls

#### 5.2.1. Trusted Roles

No stipulation.

#### 5.2.2. Number of Persons Required per Task

No stipulation.

#### 5.2.3. Identification and Authentication for Each Role

No stipulation.

#### 5.2.4. Roles Requiring Separation of Duties

No stipulation.

### 5.3. Personnel Controls

#### 5.3.1. Qualifications, Experience, and Clearance Requirements

No stipulation.



### **5.3.2. Background Check Procedures**

No stipulation.

### **5.3.3. Training Requirements**

No stipulation.

### **5.3.4. Retraining Frequency and Requirements**

No stipulation.

### **5.3.5. Job Rotation Frequency and Sequence**

No stipulation.

### **5.3.6. Sanctions for Unauthorized Actions**

No stipulation.

### **5.3.7. Independent Contractor Requirements**

No stipulation.

### **5.3.8. Documentation Supplied to Personnel**

No stipulation.

## **5.4. Audit Logging Procedures**

### **5.4.1. Types of Events Recorded**

No stipulation.

### **5.4.2. Frequency of Processing Log**

No stipulation.

### **5.4.3. Retention Period for Audit Log**

No stipulation.

### **5.4.4. Protection of Audit Log**

No stipulation.

### **5.4.5. Audit Log Backup Procedures**

No stipulation.

### **5.4.6. Audit Collection System (Internal vs. External)**

No stipulation.

### **5.4.7. Notification to Event-Causing Subject**

No stipulation.

### **5.4.8. Vulnerability Assessments**

No stipulation.

## **5.5. Records Archival**

### **5.5.1. Types of Records Archived**

No stipulation.

### **5.5.2. Retention Period for Archive**

No stipulation.

### **5.5.3. Protection of Archive**

No stipulation.

### **5.5.4. Archive Backup Procedures**

No stipulation.

### **5.5.5. Requirements for Time-Stamping of Records**

No stipulation.

### **5.5.6. Archive Collection System (Internal or External)**

No stipulation.

### **5.5.7. Procedures to Obtain and Verify Archive Information**

No stipulation.

## **5.6. Key Changeover**

No stipulation.

## **5.7. Compromise and Disaster Recovery**

### **5.7.1. Incident and Compromise Handling Procedures**

No stipulation.

### **5.7.2. Computing Resources, Software, and/or Data are Corrupted**

No stipulation.

### **5.7.3. Entity Private Key Compromise Procedures**

No stipulation.

### **5.7.4. Business Continuity Capabilities After a Disaster**

No stipulation.

## **5.8. CA Termination**

No stipulation.

## 6. Technical security controls

### 6.1. Key Pair Generation and Installation

Refer to clause 6.5 of ETSI EN 319 411-1 [1].

#### 6.1.1. Key Pair Generation

Not applicable.

#### 6.1.2. Private Key Delivery to Subscriber

Not applicable.

#### 6.1.3. Public Key Delivery to Certificate Issuer

Not applicable.

#### 6.1.4. CA Public Key Delivery to Relying Parties

Not applicable.

#### 6.1.5. Key Sizes

Not applicable.

#### 6.1.6. Public Key Parameters Generation and Quality Checking

Not applicable.

#### 6.1.7. Key Usage Purposes (as per X.509 v3 Key Usage Field)

No stipulation.

### 6.2. Private Key Protection and Cryptographic Module Engineering Controls

#### 6.2.1. Cryptographic Module Standards and Controls

No stipulation.

#### 6.2.2. Private Key (n out of m) Multi-Person Control

No stipulation.

#### 6.2.3. Private Key Escrow

No stipulation.

#### 6.2.4. Private Key Backup

No stipulation.

#### 6.2.5. Private Key Archival

No stipulation.

#### 6.2.6. Private Key Transfer Into or From a Cryptographic Module

No stipulation.

#### 6.2.7. Private Key Storage on Cryptographic Module

No stipulation.

#### **6.2.8. Method of Activating Private Key**

No stipulation.

#### **6.2.9. Method of Deactivating Private Key**

No stipulation.

#### **6.2.10. Method of Destroying Private Key**

No stipulation.

#### **6.2.11. Cryptographic Module Rating**

No stipulation.

### **6.3. Other Aspects of Key Pair Management**

#### **6.3.1. Public Key Archival**

No stipulation.

#### **6.3.2. Certificate Operational Periods and Key Pair Usage Periods**

The validity period of Subscriber certificates SHALL be as defined in the Certificate Profile [9].

### **6.4. Activation Data**

#### **6.4.1. Activation Data Generation and Installation**

No stipulation.

#### **6.4.2. Activation Data Protection**

No stipulation.

#### **6.4.3. Other Aspects of Activation Data**

Not allowed.

### **6.5. Computer Security Controls**

#### **6.5.1. Specific Computer Security Technical Requirements**

No stipulation.

#### **6.5.2. Computer Security Rating**

No stipulation.

### **6.6. Life Cycle Technical Controls**

#### **6.6.1. System Development Controls**

No stipulation.

#### **6.6.2. Security Management Controls**

No stipulation.

#### **6.6.3. Life Cycle Security Controls**

No stipulation.

## **6.7. Network Security Controls**

No stipulation.

## **6.8. Time-Stamping**

No stipulation.

## 7. Certificate, CRL, and OCSP profiles

Refer to clause 6.6 of ETSI EN 319 411-1 [\[1\]](#).

### 7.1. Certificate Profile

Certificate SHALL be compliant with the profile described in the Certificate Profile [\[9\]](#).

### 7.2. CRL Profile

CRL SHALL be compliant to with the profile described in the Certificate Profile [\[9\]](#).

### 7.3. OCSP Profile

The OCSP responses SHALL be compliant with the profile described in the Certificate Profile [\[9\]](#).

#### 7.3.1. Version Number(s)

No stipulation.

#### 7.3.2. OCSP Extensions

No stipulation.

## **8. Compliance audit and other assessments**

Refer to clause 6.7 of ETSI EN 319 411-1 [\[1\]](#).

### **8.1. Frequency or Circumstances of Assessment**

No stipulation.

### **8.2. Identity/Qualifications of Assessor**

No stipulation.

### **8.3. Assessor's Relationship to Assessed Entity**

No stipulation.

### **8.4. Topics Covered by Assessment**

No stipulation.

### **8.5. Actions Taken as a Result of Deficiency**

No stipulation.

### **8.6. Communication of Results**

No stipulation.

## **9. Other business and legal matters**

Refer to clause 6.8 of ETSI EN 319 411-1 [\[1\]](#).

### **9.1. Fees**

#### **9.1.1. Certificate Issuance or Renewal Fees**

SK SHALL NOT accept any new applications nor issue any new Certificates.

#### **9.1.2. Certificate Access Fees**

No stipulation.

#### **9.1.3. Revocation or Status Information Access Fees**

No stipulation.

#### **9.1.4. Fees for Other Services**

No stipulation.

#### **9.1.5. Refund Policy**

No stipulation.

### **9.2. Financial Responsibility**

#### **9.2.1. Insurance Coverage**

No stipulation.

#### **9.2.2. Other Assets**

No stipulation.

#### **9.2.3. Insurance or Warranty Coverage for End-Entities**

No stipulation.

### **9.3. Confidentiality of Business Information**

No stipulation.

### **9.4. Privacy of Personal Information**

#### **9.4.1. Personal Data Protection Principles**

No stipulation.

#### **9.4.2. Personal Information Processed by SK**

No stipulation.

#### **9.4.3. Responsibility to Protect Private Information**

No stipulation.

#### **9.4.4. Notice and Consent to Use Private Information**

No stipulation.



#### **9.4.5. Disclosure Pursuant to Judicial or Administrative Process**

No stipulation.

#### **9.4.6. Other Information Disclosure Circumstances**

No stipulation.

### **9.5. Intellectual Property Rights**

SK obtains intellectual property rights to this CP.

### **9.6. Representations and Warranties**

#### **9.6.1. CA Representations and Warranties**

An employee of CA SHALL NOT have been punished for an intentional crime.

#### **9.6.2. RA Representations and Warranties**

An employee of RA SHALL NOT have been punished for an intentional crime.

#### **9.6.3. Subscriber Representations and Warranties**

No stipulation.

#### **9.6.4. Relying Party Representations and Warranties**

A Relying Party SHALL verify the validity of the certificate using validation services offered by SK before using the Certificate.

A Relying Party SHALL follow the limitations stated in the Certificate and SHALL make sure that the transaction to be accepted corresponds to this CP.

#### **9.6.5. Representations and Warranties of Other Participants**

No stipulation.

### **9.7. Disclaimers of Warranties**

No stipulation.

### **9.8. Limitations of Liability**

No stipulation.

### **9.9. Indemnities**

No stipulation.

### **9.10. Term and Termination**

#### **9.10.1. Term**

Refer to clause 2.2.1 of this CP.

#### **9.10.2. Termination**

This CP SHALL remain in force until it is replaced by the new version or when it is terminated due to CA termination or when the service is terminated and all the Certificates therefore become invalid.

### 9.10.3. Effect of Termination and Survival

SK SHALL communicate the conditions and effect of this CP's termination.

## 9.11. Individual Notices and Communications with Participants

No stipulation.

## 9.12. Amendments

### 9.12.1. Procedure for Amendment

Refer to clause 1.5.4 of this CP.

### 9.12.2. Notification Mechanism and Period

Refer to clause 1.5.4 of this CP.

### 9.12.3. Circumstances Under Which OID Must be Changed

OID SHALL change when the scope of this Policy will change or when a new type of Certificate will occur.

## 9.13. Dispute Resolution Provisions

No stipulation.

## 9.14. Governing Law

This CP is governed by the jurisdictions of the European Union and Republic of Estonia.

## 9.15. Compliance with Applicable Law

The CA SHALL ensure compliance with following requirements:

- eIDAS - Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC [\[11\]](#);
- Personal Data Protection Act [\[12\]](#);
- Related European Standards:
  - ETSI EN 319 401 Electronic Signatures and Infrastructures (ESI); General Policy Requirements for Trust Service Providers [\[13\]](#);
  - ETSI EN 319 411-1 Electronic Signatures and Infrastructures (ESI); Policy and Security requirements for Trust Service Providers issuing certificates; Part 1: General requirements [\[1\]](#);
  - CA/Browser Forum, Baseline Requirements Certificate Policy for the Issuance and Management of Publicly-Trusted Certificates [\[2\]](#);
- Browser root certificate programs:
  - Microsoft Trusted Root Certificate: Program Requirements [\[3\]](#);
  - Mozilla CA Certificate Inclusion Policy [\[4\]](#);
  - Apple Root Certificate Program [\[5\]](#).

## 9.16. Miscellaneous Provisions

### 9.16.1. Entire Agreement

No stipulation.

### 9.16.2. Assignment

No stipulation.



**9.16.3. Severability**

No stipulation.

**9.16.4. Enforcement (Attorneys' Fees and Waiver of Rights)**

No stipulation.

**9.16.5. Force Majeure**

No stipulation.

**9.17. Other Provisions**

Not allowed.

## 10. References

- [1] ETSI EN 319 411-1 V1.2.2 Electronic Signatures and Infrastructures (ESI); Policy and Security requirements for Trust Service Providers issuing certificates; Part 1: General requirements;
- [2] CA/Browser Forum Baseline Requirements Certificate Policy for the Issuance and Management of Publicly-Trusted Certificates (V 1.6.4), published: <https://cabforum.org/baseline-requirements-documents/>;
- [3] Microsoft Trusted Root Certificate: Program Requirements, published: <https://technet.microsoft.com/en-us/library/cc751157.aspx>;
- [4] Mozilla CA Certificate Inclusion Policy, published: <https://www.mozilla.org/en-US/about/governance/policies/security-group/certs/policy/>;
- [5] Apple Root Certificate Program, published: [https://www.apple.com/certificateauthority/ca\\_program.html](https://www.apple.com/certificateauthority/ca_program.html);
- [6] ETSI Drafting Rules (Verbal forms for the expression of provisions);
- [7] RFC 3647 – Request For Comments 3647, Internet X.509 Public Key Infrastructure, Certificate Policy and Certification Practices Framework, <https://www.ietf.org/rfc/rfc3647.txt>;
- [8] SK ID Solutions AS – Certification Practice Statement of KLASS3-SK (CPS), published: <https://www.skidsolutions.eu/en/repository/CPS/>;
- [9] Certificate and OCSP Profile for Organisation Certificates Issued by SK, published: <https://www.skidsolutions.eu/en/repository/profiles/>;
- [10] Terms and Conditions for use of organisation certificates, published: <https://www.skidsolutions.eu/en/repository/conditions-for-use-of-certificates/>;
- [11] eIDAS - Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC;
- [12] Personal Data Protection Act, RT I 06.01.2016, 10;
- [13] ETSI EN 319 401 V2.0.0 Electronic Signatures and Infrastructures (ESI); General Policy Requirements for Trust Service Providers;
- [14] SK ID Solutions AS Trust Services Practice Statement, published: <https://www.skidsolutions.eu/en/repository/sk-ps/>.